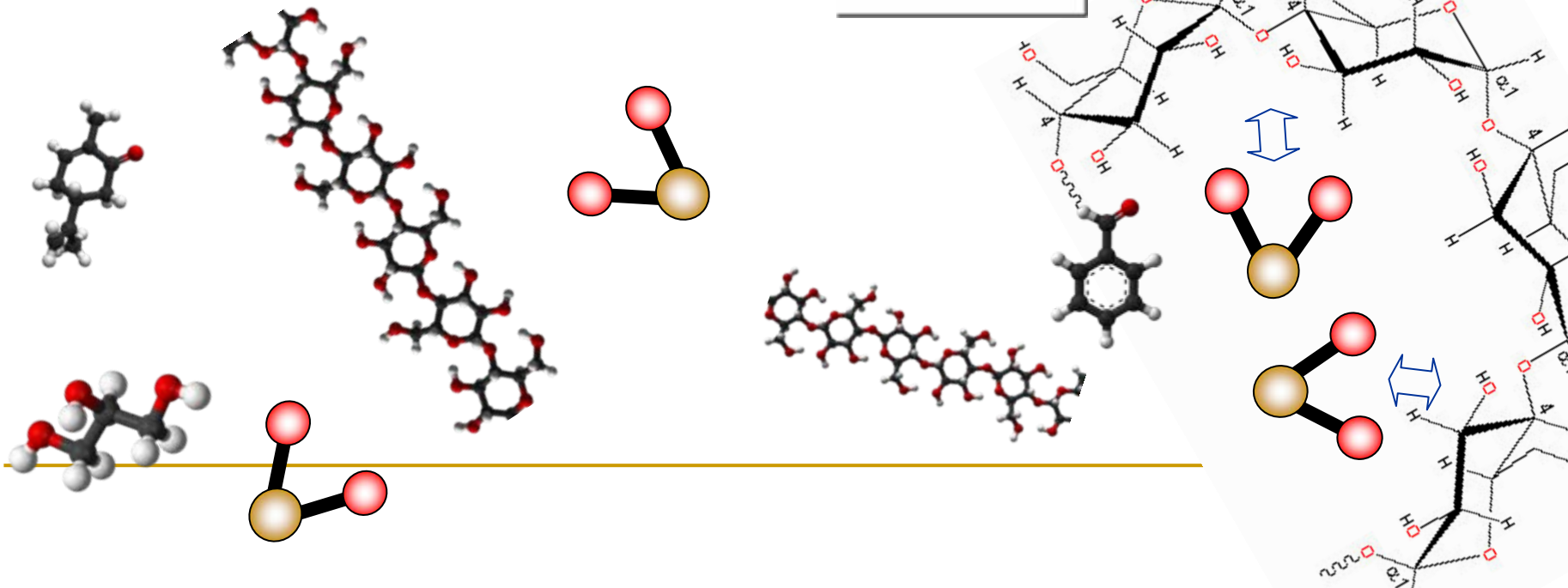


Physico-chemical properties of edible films and coatings affected by moisture and/or flavour compounds interactions



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What are the solutions to prevent migrations between food compartments having different compositions or textures, or between food and surrounding medium ?



In the only case of moisture transfers :

- To obtain water activity of each compartment as close as possible

⇒ **To change recipe**



In all the cases :

To apply a barrier layer at the interface of the different compartments inside the composite food product, or at the surface of food to protect it against the surrounding medium.



⇒ **To use edible films or coatings**



Food Industry ⇒ Predictions of shelf-life => based on diffusivity and permeability values



Modelling moisture transport phenomena (diffusivity) in food products

Model 1	Model 2	Model 3	Model 4
Assumptions			
No deformation	simple deformation	No deformation	Simple deformation
No external resistance	No external resistance	External resistance	External resistance
Eulerian coordinate x	Lagrangian coordinate ξ	Eulerian coordinate x	Lagrangian coordinate ξ
$D_{eff}^* = D_{eff}$	$D_{eff}^* = \frac{D_{eff}}{(1 + \frac{\rho_{dm}^0}{\rho_x^0} X)^2}$	$D_{eff}^* = D_{eff}$	$D_{eff}^* = \frac{D_{eff}}{(1 + \frac{\rho_{dm}^0}{\rho_x^0} X)^2}$
Boundary conditions at $x=x_{max}$ whatever the time t			
$X=X_\infty$	$X=X_\infty$	$-\rho_{dm} \cdot D_{eff} \left(\frac{\partial X}{\partial x} \right) = \dots \frac{h_m \cdot M}{RT} (a_w p_{vsat} - p_{va})$	$\frac{-\rho_{dm}^0 \cdot D_{eff} \left(\frac{\partial X}{\partial \xi} \right)}{(1 + \frac{\rho_{dm}^0}{\rho_x^0} X)^2} = \dots \frac{h_m \cdot M}{RT} (a_w p_{vsat} - p_{va})$

Labuza & Hyman *Trends Food Sci. Technol.* 9 (1997) 47-55 - Roca et al., *Food Chemistry* 106 (2008) 1428–1437
 Karbowski et al., *Innov. Food Sci. Emerg. Technol.*, 10 (2008) 116–127
 Roudaut & Debeaufort in “*Chemical Deterioration and Physical Instability of Food and Beverages*, Woodhead pub. (2010)



Modelling moisture transport phenomena through barrier packaging and/or edible coatings

Fick's law

stationary

$$TR = -D \frac{\partial C}{\partial x}$$

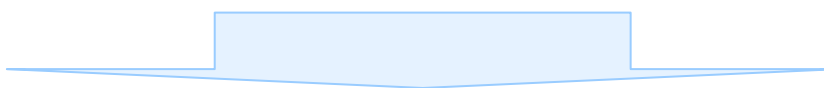
+ Henry's law

$$TR = \frac{\Delta m}{A \cdot \Delta t} = -D \cdot S \frac{\partial p}{\partial x} = -D \cdot S \cdot p^0 \frac{\partial a_w}{\partial x} = P \cdot p^0 \frac{\Delta a_w}{L} = k \cdot A \cdot \Delta a_w$$

transient

$$\frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial x^2}$$

$$\frac{M_\infty - M_t}{M_\infty - M_0} = \frac{8}{\pi^2} \sum_{n=1}^{\infty} \frac{1}{(2n-1)^2} \exp\left[-\frac{(2n-1)^2 \pi^2 D_A t}{4L_0^2}\right]$$



Shelf-life prediction

$$\text{shelf - life time} = \frac{(M_c - M_i) \cdot m}{WVP \cdot A \cdot \Delta p} \cdot L$$

$$\text{shelf - life time} = \frac{\ln\left(\frac{M_i - M_e}{M_c - M_e}\right)}{P \cdot A \cdot p^0} \cdot L \cdot m \cdot b$$

Based on critical content values, sorption isotherm properties, permeability RH or Aw differential, density and diffusivity, considered as constant parameters

Crank, "The Mathematics of Diffusion" (1975)

- Chao & Rizvi, in "Food Packaging Interactions" (1988)

Cardoso & Labuza Food Technol. 18 (1983) 587-606 - Hong et al. J. Food Proc. Preserv. 15 (1991) 45-62

Labuza & Altunakar in "Water activity in foods : fundamentals and applications" (2007)

Mass transfer modelling



Mains limits of shelf-life prediction models based on transport phenomena through barrier packaging and/or edible coatings

Are usually not considered :

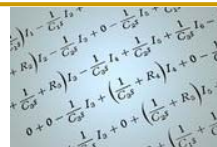
Interactions between components : competitions and synergies (moisture/plasticizers/ flavours ... mutual or counter diffusion)

Barrier structure changes during mass transfers (swelling, plasticization, crystallisation...)

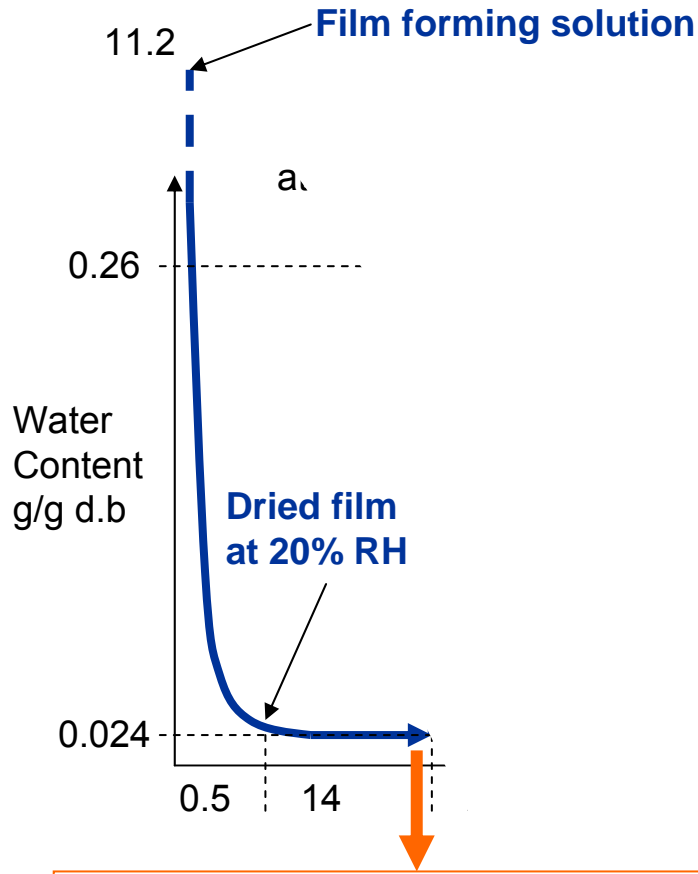
Solvatation, partial dissolution....
(Composition and structure changes at the interface)

Shroeder Paradox (state of diffusant at inner surface),
...

As illustrated in the following slides



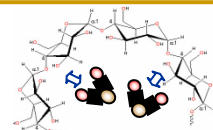
Effect of the “moisture” memory of the biopolymer (methylcellulose) structure on the water vapour permeability



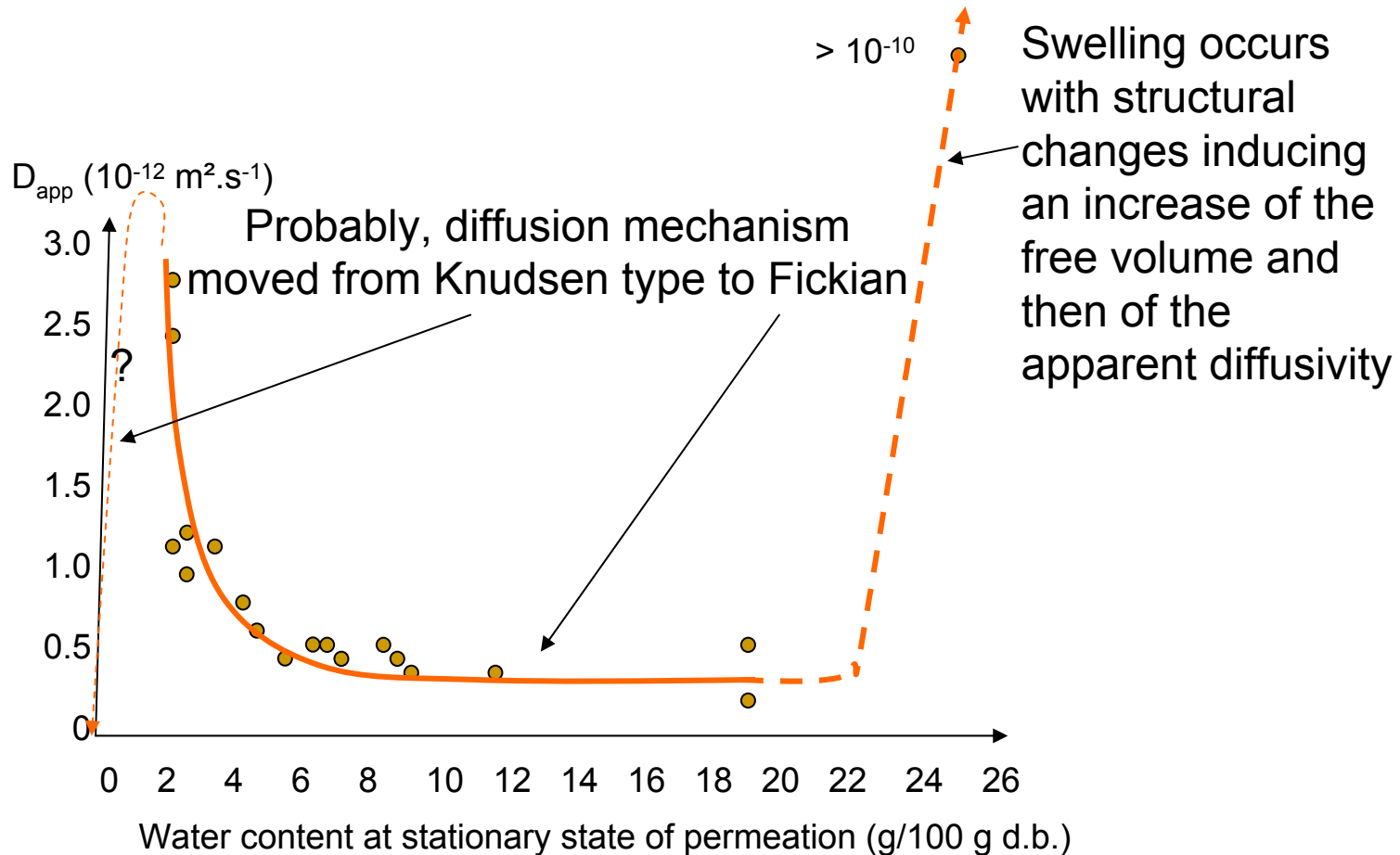
Permeability increases by 13% after rehydration while the water content at the dry state of the process was

Relative permeability $P_{Rel} = 1$

Moisture – network structure interactions

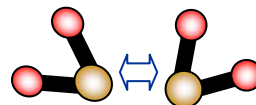


Anomalous diffusion as a function of moisture content during permeation process

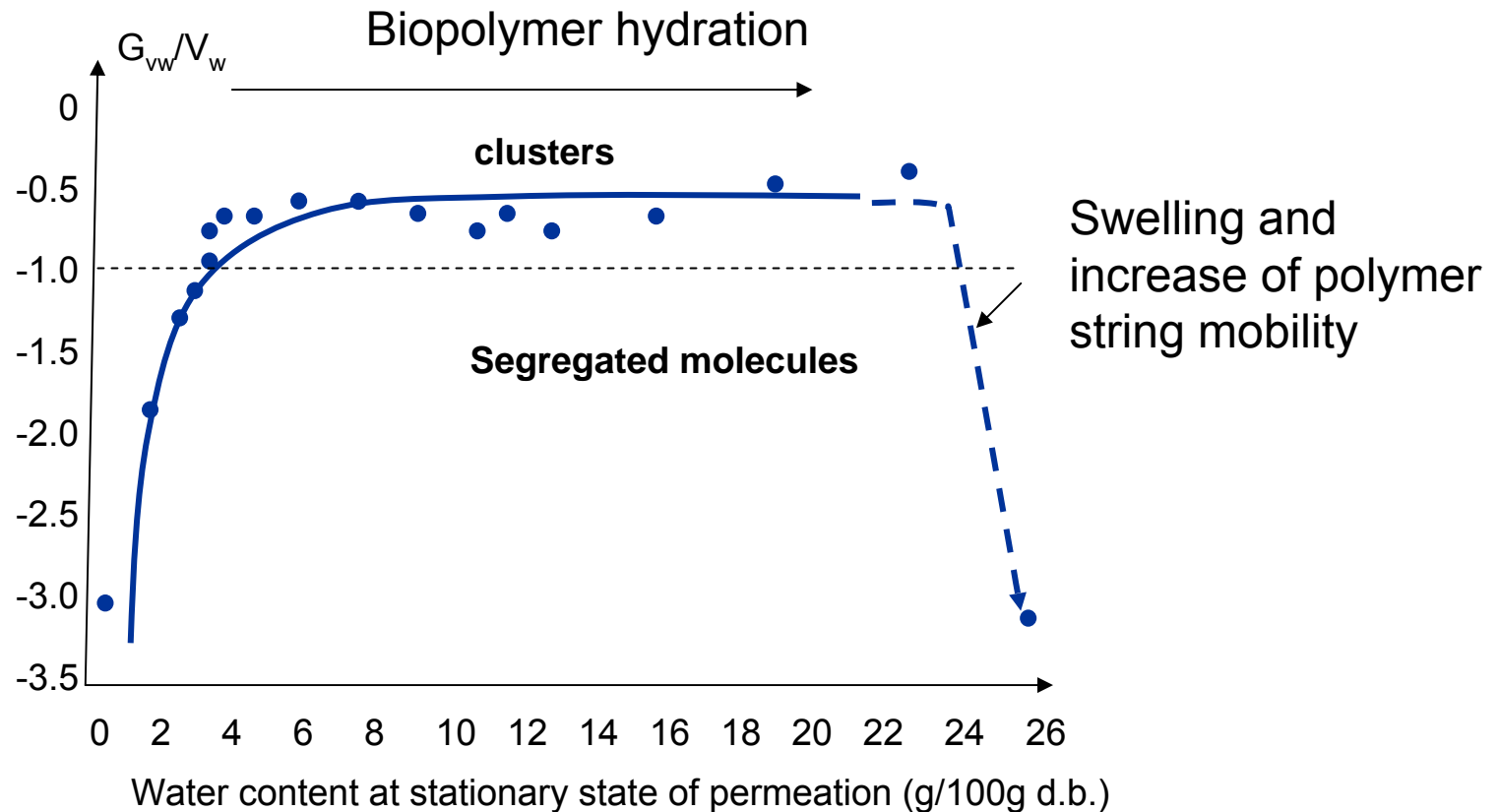


Debeaufort et al., *J. Memb. Sci.* 91 (1994) 125-133
Quénard & Sallée, *CSTB*, 323 (1991) 1-53

Diffusant - diffusant interactions

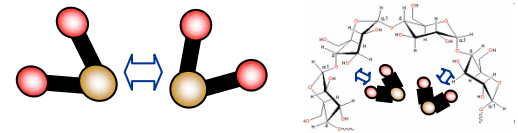


Anomalous diffusion caused by water-water molecule interactions within the biopolymer network stabilized by hydrophobic interactions



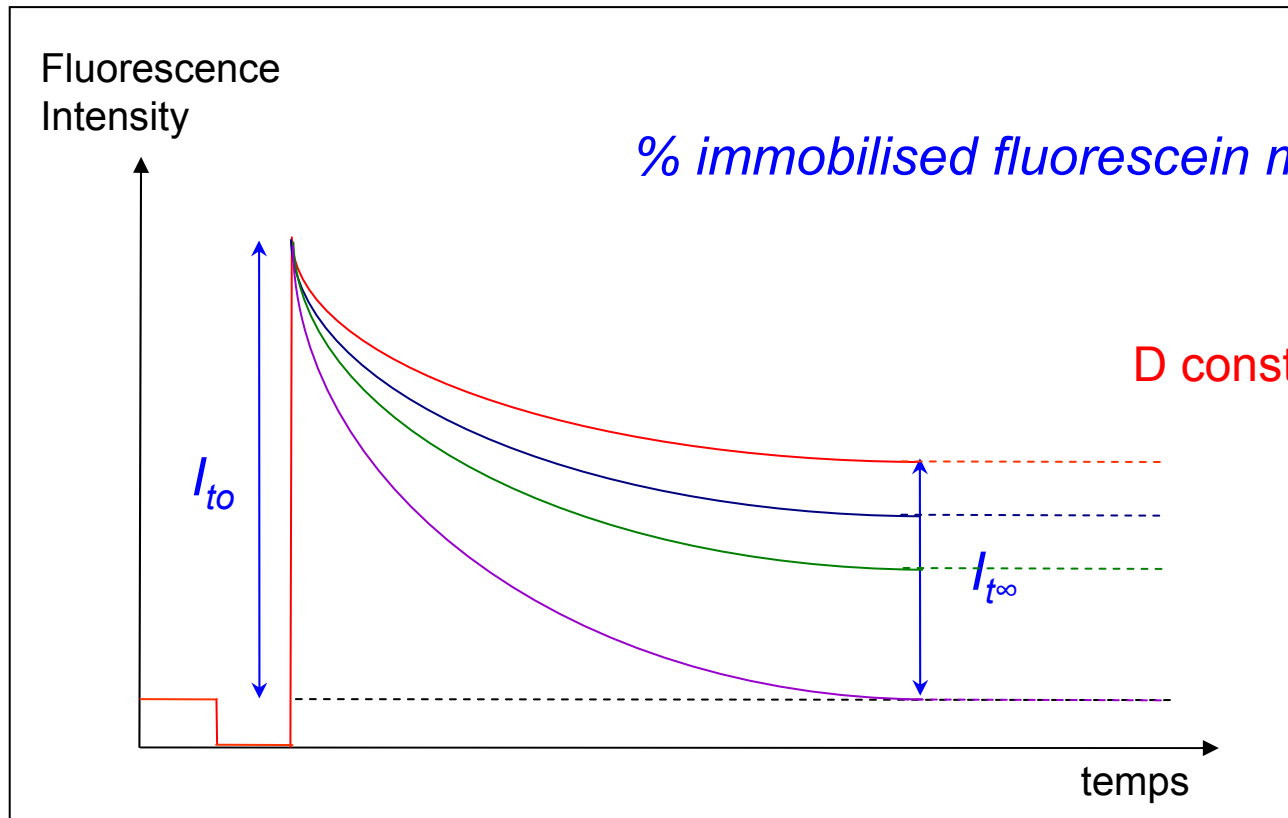
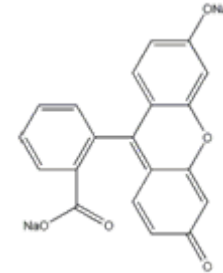
Doty, J. Chem. Phys., 14 (1946) 244-251.
 Barrie in "Diffusion in Polymers", academic press, 1968
 Debeaufort et al., J. Memb. Sci. 91 (1994) 125-133

Diffusant - diffusant and diffusant-biopolymer interactions



Interactions between diffusant and biopolymer affect the diffusivity

Diffusivity of fluorescein in carrageenan-based edible films measured by the FRAP technique

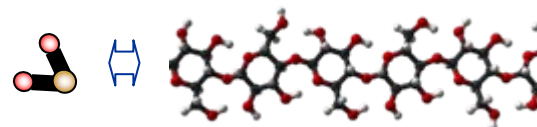


$a_w < 0,7$: 50 to 60 %
$a_w = 0,8$: 40 %
$a_w = 0,9$: 30 %
$a_w = 0,98$: 0 %

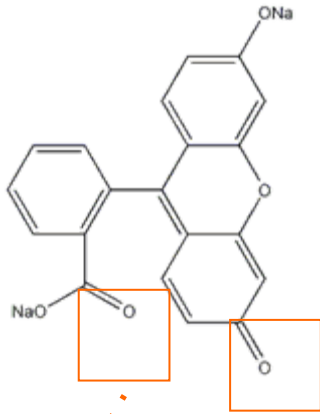
$D = f(H_2O)$

Karbowiak et al., *Food Chem.*, 106 (2008) 1340-1349
 Karbowiak *Innov. Food Sci. Emerg. Technol.*, 10 (2009) 116-127

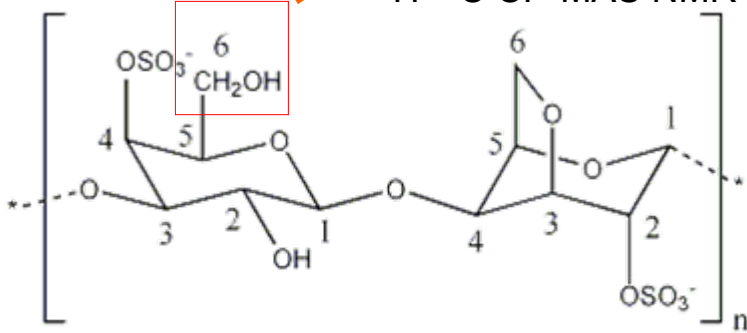
Diffusant - biopolymer interactions



Interactions between diffusant and biopolymer affect the diffusivity less than the water content

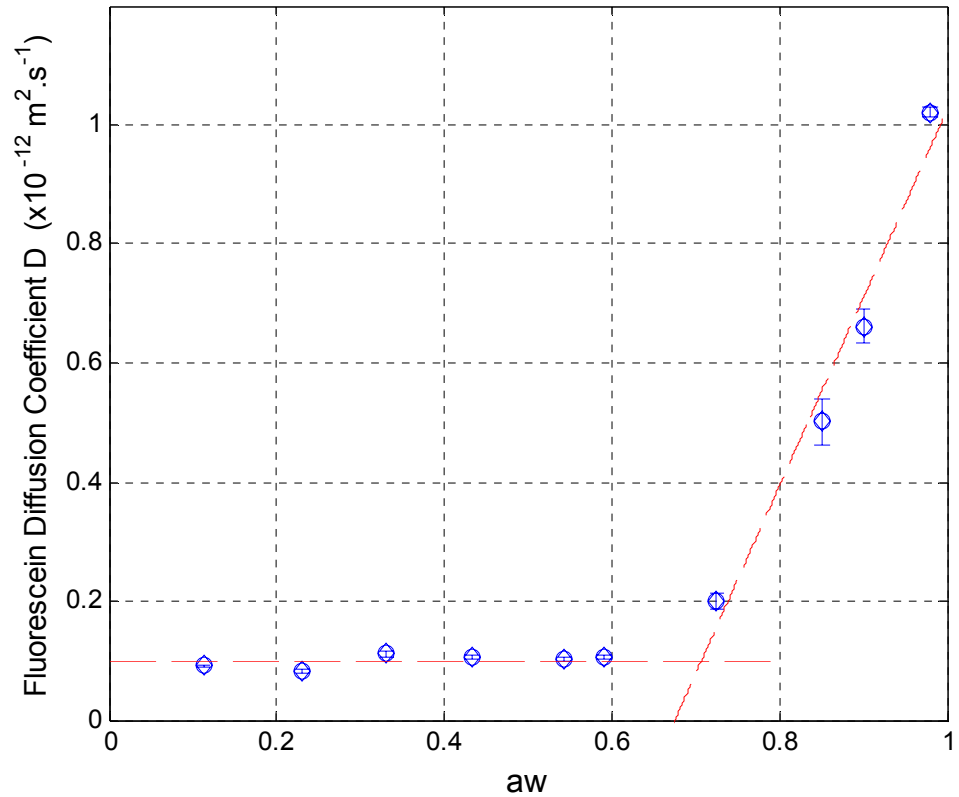


^1H - ^{13}C CP-MAS NMR



G4S

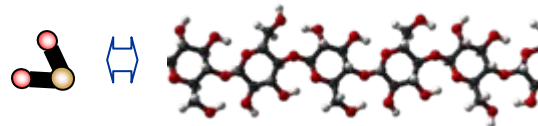
DA2S



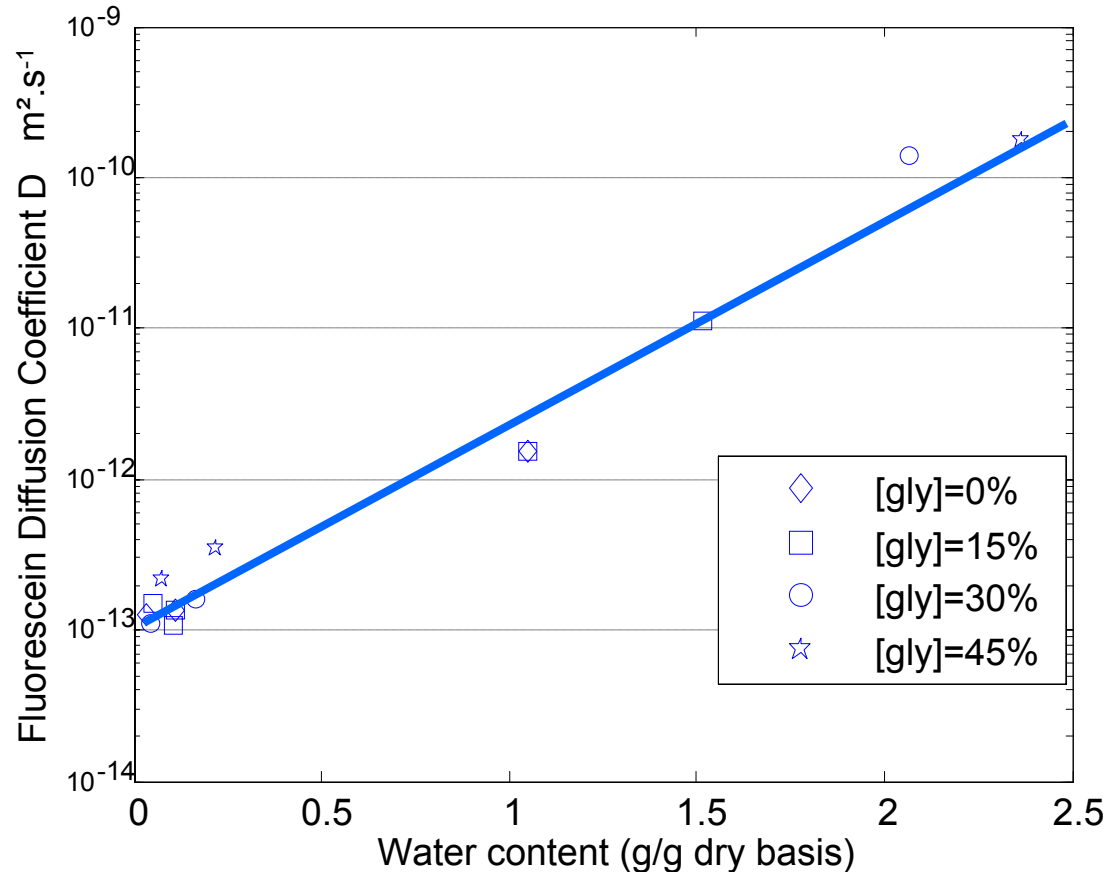
Karbowiak et al., *Food Chem.*, 106 (2008) 1340-1349

Karbowiak *Innov. Food Sci. Emerg. Technol.*, 10 (2009) 116-127

Diffusant - biopolymer interactions



Polyol plasticizers does not affect the diffusivity of small molecules in water sensitive bio-polymers

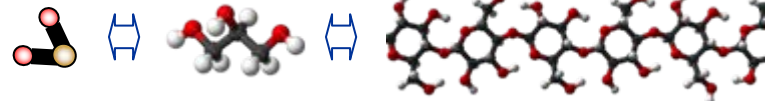


Only the plasticizing effect of water influences the fluorescein diffusivity while the plasticizer (glycerol) varies from 0 up to 45% d.b.

The glycerol acts as an humectant more than a plasticizer for the carrageenan-based films

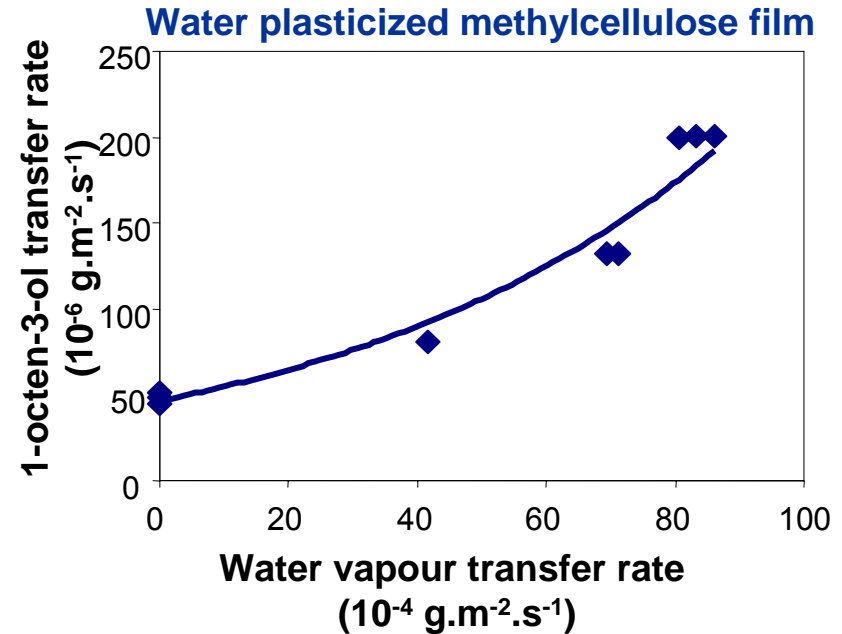
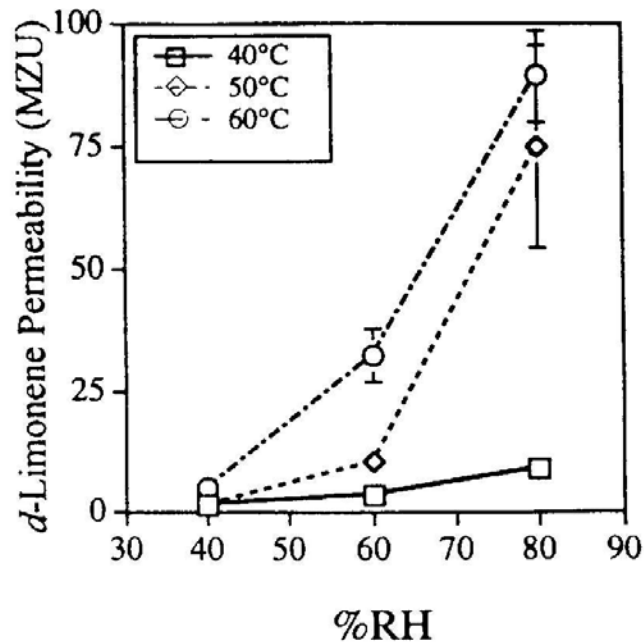
Karbowiak et al., *Food Chem.*, 106 (2008) 1340-1349
Karbowiak *Innov. Food Sci. Emerg. Technol.*, 10 (2009) 116-127

Diffusant – plasticizer - biopolymer interactions



Water permeation favours an other diffusant (aroma) permeation through the biopolymer network

Water is a well-known and efficient plasticizer for biopolymer-based edible films



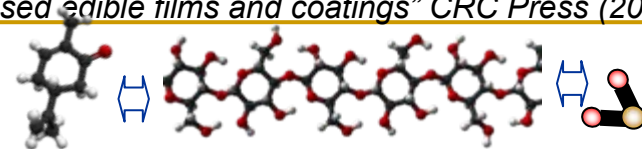
Moisture induces a swelling of the film because of a plasticization mechanism but can act as a carrier vapour when film already plasticized by water

Miller & Krochta, *Trends Food Sci. & Technol.*, 8 (1997) 228-237

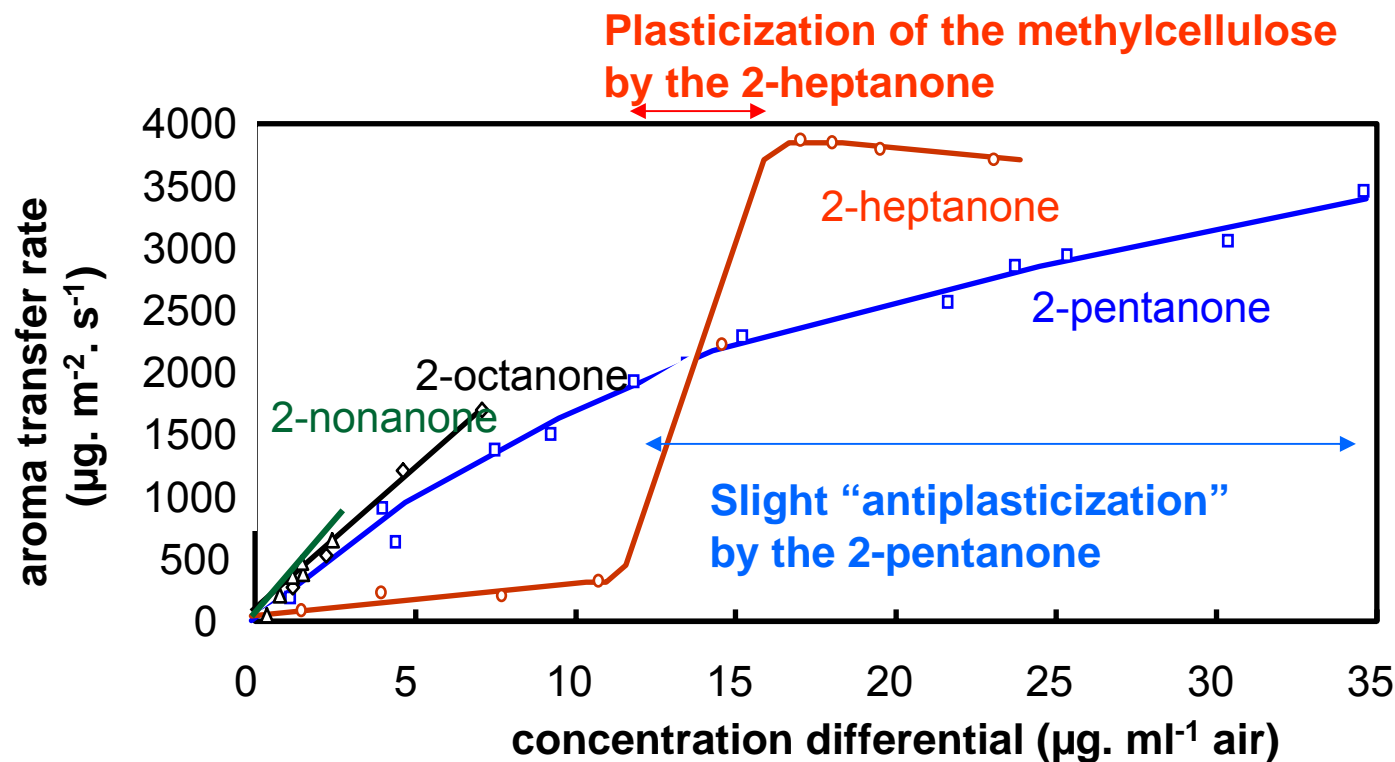
Quezada-Gallo et al., *J. Agric. Food Chem.* 476 (1999) 108-113

Debeaufort et al. in "Protein-based edible films and coatings" CRC Press (2002)

Diffusant – moisture – biopolymer interactions



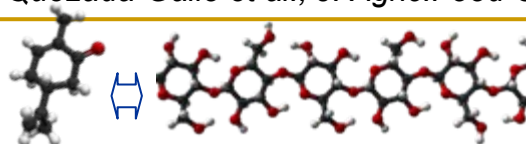
Opposite effects of methylketons (aroma) during their permeation across biopolymer (methylcellulose) network



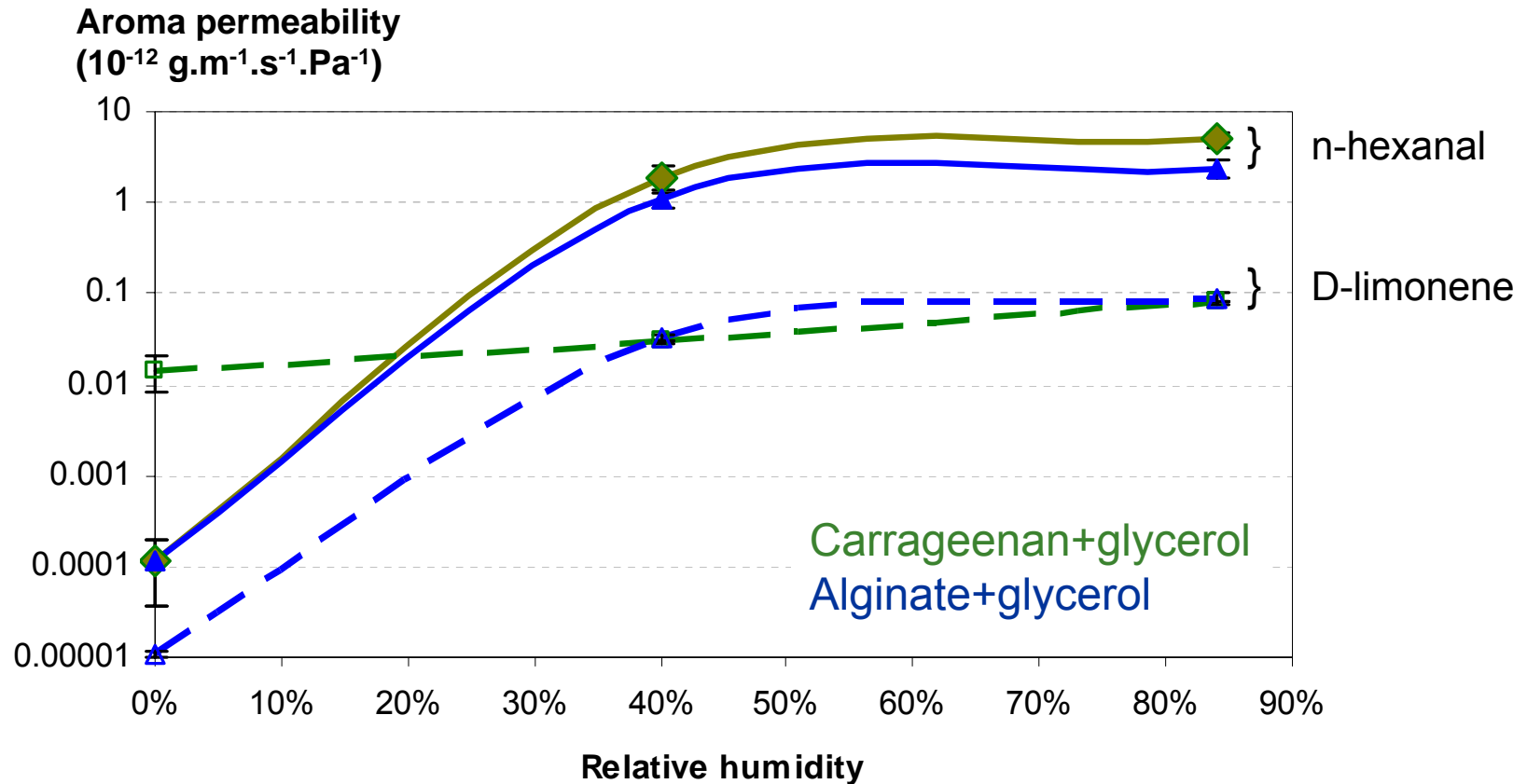
-CHOH of C6 of the methylcellulose interacts with the keton group of the 2-heptanone (displayed by FTIR-ATR) whereas the 2-pentanone favours the crystallization of methylcellulose (XRD)

Quezada-Gallo et al., *J. Agric. Food Chem.* 476 (1999) 108-113

Diffusant – biopolymer interactions

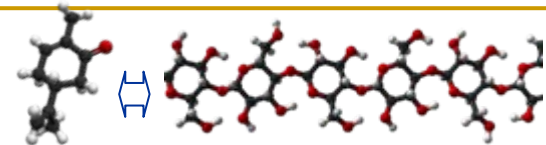


Not only the plasticizing effect of moisture can explain the aroma permeability through biopolymer networks

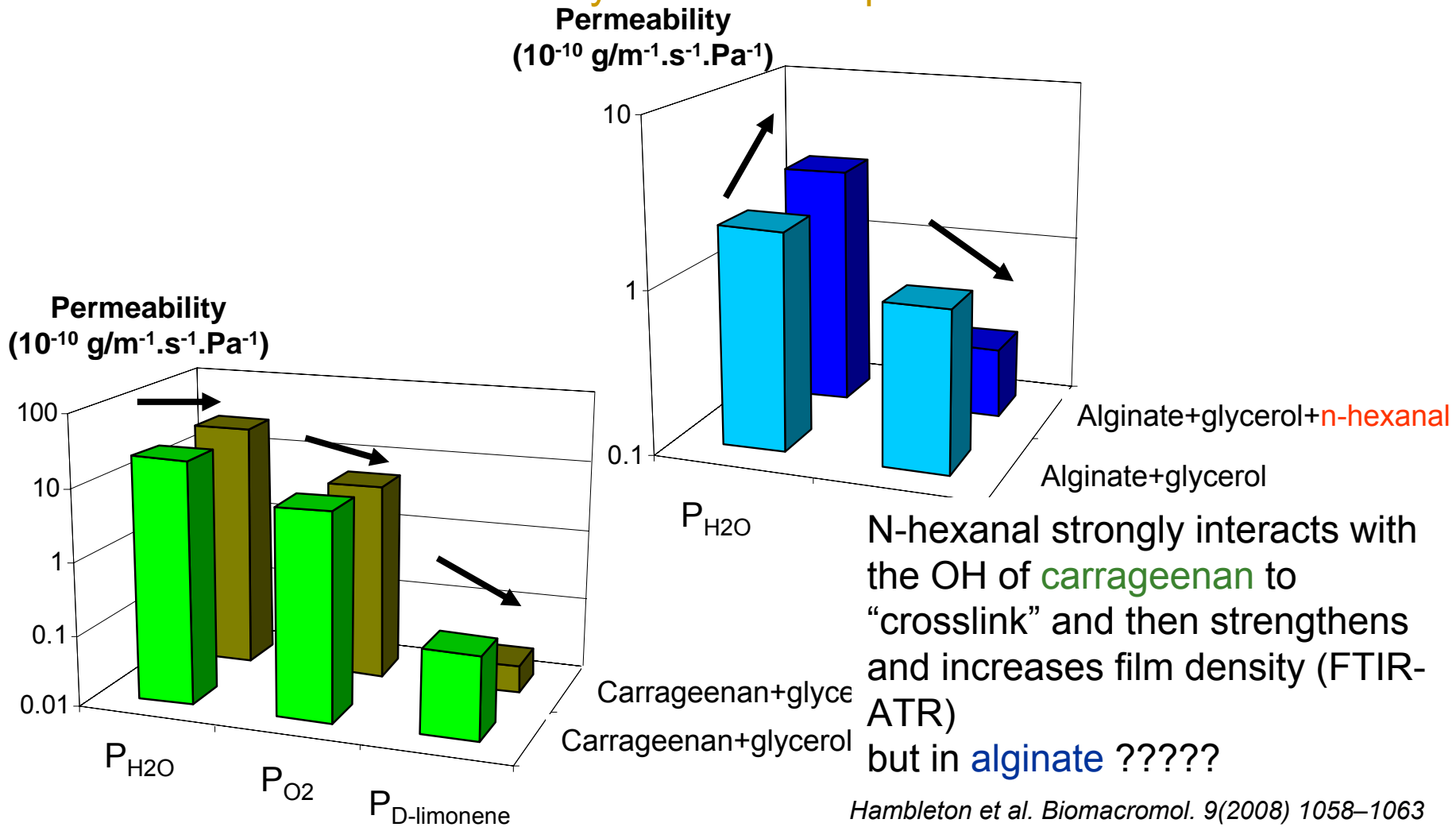


Hambleton et al. *Biomacromol.* 9(2008) 1058–1063
Hambleton *J Food Eng* 93 (2009) 80-88
Hambleton *Food Hydrocolloids* 23 (2009) 2116–2124

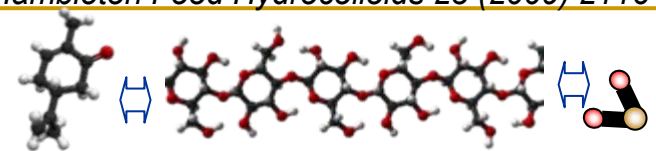
Diffusant – moisture – biopolymer interactions



Aroma (n-hexanal) pre-encapsulated in biopolymer film modifies the structure that affects differently the diffusant permeabilities



Hambleton et al. *Biomacromol.* 9(2008) 1058–1063
 Hambleton *J Food Eng* 93 (2009) 80-88
 Hambleton *Food Hydrocolloids* 23 (2009) 2116–2124



Interaction between polymer and diffusant at the interface depends on the state of the diffusant : the Schroeder Paradox

For a same activity (same chemical potential) differential, the transfer rate through a dense membrane is often greater when the diffusing substance in contact to the membrane interface is at a liquid state.

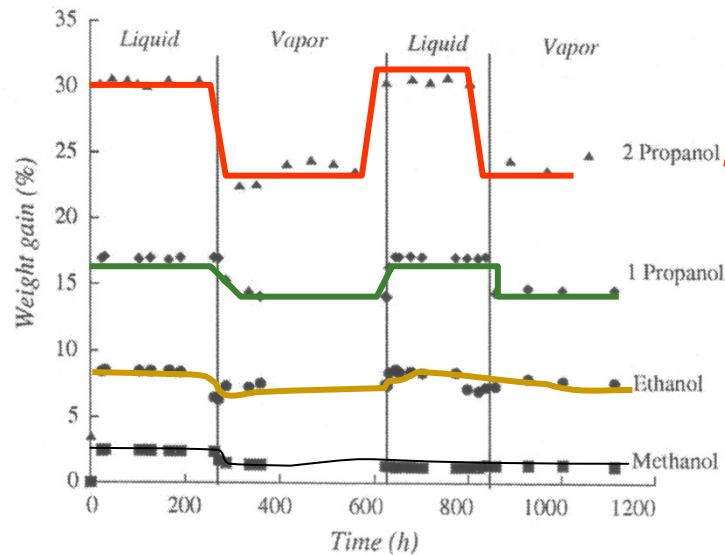


Fig. 2. Example of overall mass gain (M, expressed in percent) obtained with various compounds according to the protocol described in Fig. 1a with Silastic samples. Vertical lines indicate when the polymer sample has been transferred from one phase to the other. While the mass gain is the same for some compounds, significant, systematic and reversible differences are obtained for 1-propanol (◆) and 2-propanol (▲).

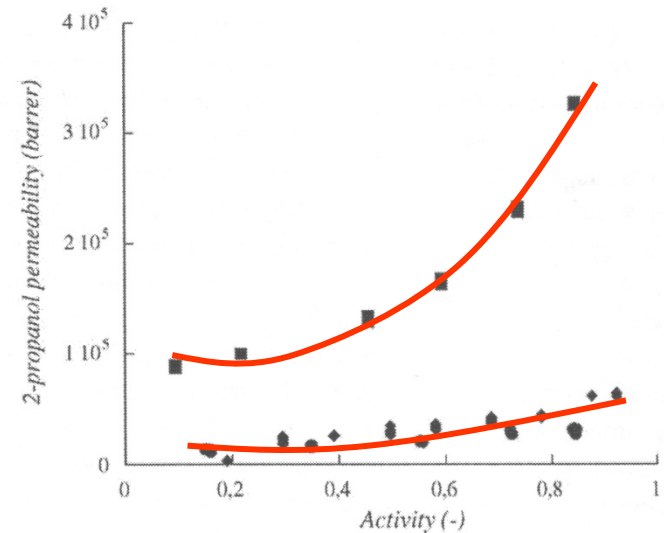


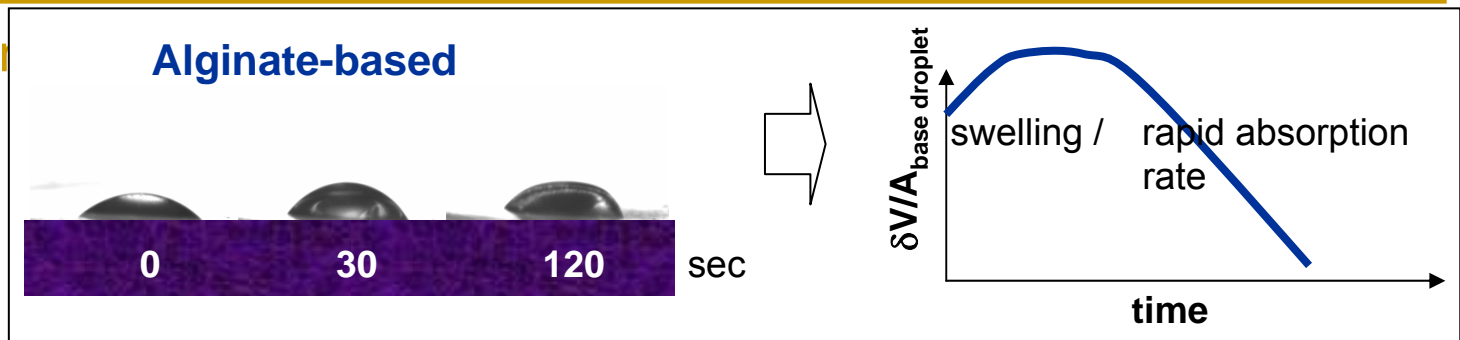
Fig. 7. 2-propanol permeability at 40 °C through a silicone rubber membrane (Silastic) obtained by different techniques: pervaporation, PV (■ pure liquid at the upstream side), vapor permeation, VP (◆ vapor at the upstream side, permanent regime), and time-lag, TL (● vapor at the upstream side, pseudo-steady regime).

Vallières et al. *J. Memb. Sci.*, 278 (2006) 357–364

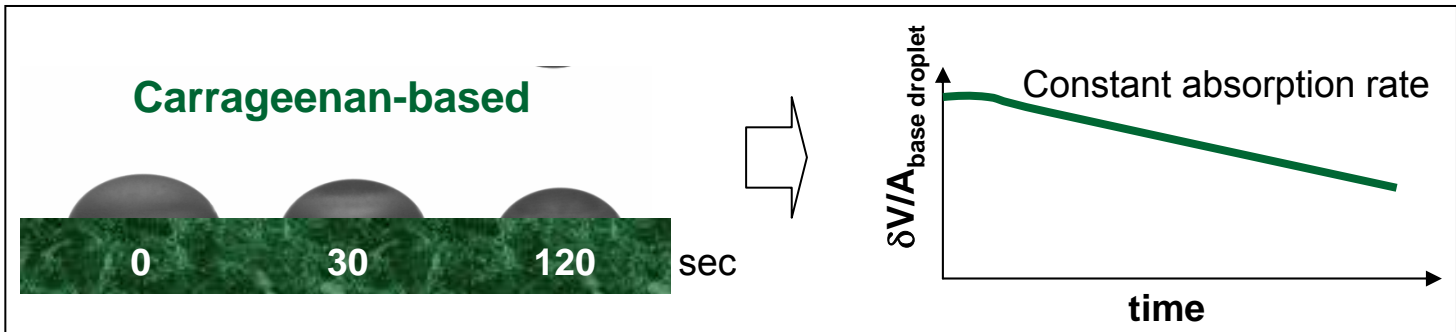
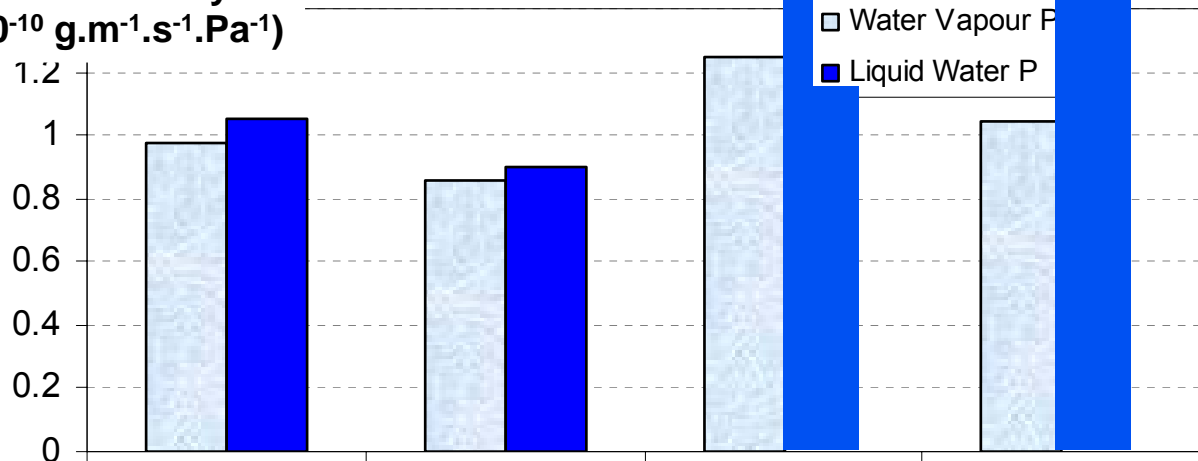
Interfacial interactions



Interfacial interactions



Permeability ($10^{-10} \text{ g.m}^{-1}.\text{s}^{-1}.\text{Pa}^{-1}$)



on 2010

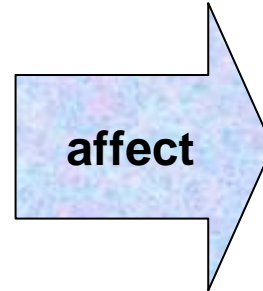
Interfacial interactions and state of diffusant



CONCLUSIONS

Interactions :

Water-water
Water-biopolymer
Water-plasticizer
Water diffusant-biopolymer
Interfacial / state of diffusant



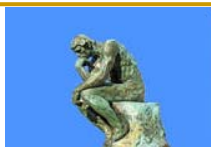
Functional properties
of biopolymer based
packaging
(permeability,
mechanical, ...)

Needs to consider molecular or nanoscales phenomena in mass transfers mechanism through bio-polymer-based films and coatings

How to integrate physico-chemical parameter dealing with interactions in the shelf-life prediction models ?

Future challenge for scientists working on biopolymer-based packagings and coatings

Interactive conclusions



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& to my colleagues from Dijon, Mexico, Udine, Valencia, and Warsaw

And a special thanks to Andrée Voilley who always interacts with me about thermodynamics and physico-chemical interactions occurring in mass transfers phenomena in edible films and coatings

Thank you for your attention

Scientist-teacher-student interactions

